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## **INFORMATION DISCLOSURE** STATEMENT BY APPLICANT

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of 3 Sheet

C mplet if Known						
Application Number	09/911,777					
Filing Date	7/24/01					
First Named Inventor	Browning et al.					
Group Art Unit	1644					
Examiner Name	Haddad, Maher					
Attorney Docket Number	A070 US					

	U.S. PATENT DOCUMENTS							
Examiner Cite No.1		U.S. Patent	Kind Code <sup>2</sup> (if known)	Name of Patentee or Applicant of Cited Document  Date of Publication of Cited Document  MM-DD-YYYYY		Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear		
MH	AA	6,475,987	B1	Shu	11/5/2002			
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	FOREIGN PATENT DOCUMENTS									
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Initials*	No.1	Office <sup>3</sup>	Number <sup>4</sup>	Kind Code <sup>5</sup> (if known)	Applicant of Cited Document	Cited Document MM-DD-YYYY	Passages or Relevant Figures Appear	T <sub>e</sub>		
MH	BA		WO 00/68378			11/16/2000				
MΗ	BB		WO 00/50633			8/31/2000				
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W.H	BD		WO 00/40716			7/13/2000	•			
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<sup>&</sup>lt;sup>1</sup> Unique citation designation number. <sup>2</sup> See attached Kinds of U.S. Patent Documents. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). 4 For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. 5 Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.



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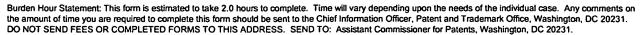
Compl t if Known Substitute for form 1449B/PTO Application Number 09/911,777 INFORMATION DISCLOSURE 7/24/01 Filing Date STATEMENT BY APPLICANT **First Named Inventor** Browning et al. 1644 Group Art Unit **Examiner Name** Haddad, Maher (use as many sheets as necessary) Sheet of Attomey Docket Number 3 A070 US

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Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T2				
CA	GRAS et al., "BCMAp: An Integral Membrane Protein in the Golgi Apparatus of Human Mature B Lymphocytes", International Immunology, Vol. 7, No. 7, 1995, pgs. 1093-1106.					
GROSS et al., "TACI and BCMA are Receptors for a TNF Homologue Implicated in B-Cell Autoimmund Disease", Nature, Vol. 404, April 27 2000, pgs. 995-999.						
cc	HAHNE et al., "APRIL, A New Ligand of the Tumor Necrosis Factor Family, Stimulates Tumor Cell Growth", J. Exp. Med., Vol. 188, No. 6, September 21 1998, pgs. 1185-1190.					
CD	KHARE et al., "Severe B Cell Hyperplasia and Autoimmune Disease in TALL-1 Transgenic Mice", Proceedings of the National Academy of Sciences of the United States of America, March 28 2000, Vol. 97, No. 7, pgs. 3370-3375.					
CE	KWON et al., "Functions of Newly Identified Members of the Tumor Necrosis Factor Receptor/Ligand Superfamilies in Lymphocytes", Current Opinion in Immunology, 1999, pgs. 340-345.					
CF	LAABI et al., "A New Gene, BCM, on Chromosome 16 is Fused to the Interleukin 2 Gene by a t(4;16) (q26;p13) Translocation in a Malignant T Cell Lymphoma", The EMBO Journal, Vol. 11, No. 11, 1992, pgs. 3897-3904.	,				
CG	LAABI et al., "The BCMA Gene, Preferentially Expressed During B Lymphoid Maturation, is Bidirectionally Transcribed", Nucleic Acids Research, Vol. 22, No. 7, 1994, pgs. 1147-1154.					
СН	MACKAY et al., "Mice Transgenic for BAFF Develop Lymphocytic Disorders along with Autoimmune Manifestations", Journal of Experimental Medicine, December 6 1999, Vol. 190, No. 11, pgs. 1697-1710.					
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СJ						
CK	MOORE et al., "BLyS: Member of the Tumor Necrosis Factor Family and B Lymphocyte Stimulator", Science, July 9 1999, Vol. 285, No. 5425, pgs. 260-263.					
	CA CB CC CD CE CF CG CH CI	item (book, magazine, journal, serial, symposium, carlatog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.  GRAS et al., "BCMAp: An Integral Membrane Protein in the Golgi Apparatus of Human Mature B Lymphocytes", International Immunology, Vol. 7, No. 7, 1995, pgs. 1093-1106.  GROSS et al., "TACI and BCMA are Receptors for a TNF Homologue Implicated in B-Cell Autoimmune Disease", Nature, Vol. 404, April 27 2000, pgs. 995-999.  HAHNE et al., "APRIL, A New Ligand of the Tumor Necrosis Factor Family, Stimulates Tumor Cell Growth", J. Exp. Med., Vol. 188, No. 6, September 21 1998, pgs. 1185-1190.  CC  KHARE et al., "Severe B Cell Hyperplasia and Autoimmune Disease in TALL-1 Transgenic Mice", Proceedings of the National Academy of Sciences of the United States of America, March 28 2000, Vol. 97, No. 7, pgs. 3370-3375.  KWON et al., "Functions of Newly Identified Members of theTumor Necrosis Factor Receptor/Ligand Superfamilies in Lymphocytes", Current Opinion in Immunology, 1999, pgs. 340-345.  CE  LAABI et al., "A New Gene, BCM, on Chromosome 16 is Fused to the Interleukin 2 Gene by a t(4;16) (q26;p13) Translocation in a Malignant T Cell Lymphoma", The EMBO Journal, Vol. 11, No. 11, 1992, pgs. 3897-3904.  LAABI et al., "The BCMA Gene, Preferentially Expressed During B Lymphoid Maturation, is Bidirectionally Transcribed", Nucleic Acids Research, Vol. 22, No. 7, 1994, pgs. 1147-1154.  CG  MACKAY et al., "Mice Transgenic for BAFF Develop Lymphocytic Disorders along with Autoimmune Manifestations", Journal of Experimental Medicine, December 6 1999, Vol. 190, No. 11, pgs. 1697-1710.  CH  MALDRY et al., "The Characterization of Murine BCMA Gene Defines it as a New Memer of the Tumor Necrosis Factor Receptor Superfamily", International Immunology, Vol. 10, No. 11, 1998, pgs. 1693-1702.  MUKHOPADHYAY et al., "Identification and Characterization of a Novel Cytokine, THANK, a TNF Homologue that Activates Apoptosis, Nuclear Factor-kappaB, and c-Jun NH2-Terminal Kinase", Journal of				

Examiner Signature Mahun Haddad	Date Considered	2/19/03
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<sup>&</sup>lt;sup>1</sup> Unique citation designation number. <sup>2</sup> Applicant is to place a check mark here if English language Translation is attached.





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Sheet 3 3

Complet if Known						
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First Named Inventor	Browning et al.					
Group Art Unit	1644					
Examiner Name	Haddad, Maher					
Attorney Docket Number	A070 US					

		OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS					
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m H	CL	PITTI et al., "Genomic Amplification of a Decoy Receptor for Fas Ligand in Lung and Colon Cancer", Nature, Vol. 396, December 17, 1998, pgs. 699-703.					
mIt	СМ	SCHNEIDER et al., "BAFF, A Novel Ligand of the Tumor Necrosis Factor Family, Stimulates B Cell Growth", J. Exp. Med., Vol. 189, No. 11, June 7 1999, pgs. 1747-1756.					
mH	CN	SHU et al., "B Cell Maturation Protein is a Receptor for the Tumor Necrosis Factor Family Member TALL-1", PNAS, Vol. 97, No. 16, August 1 2000, pgs. 9156-9161.					
mH	co	THOMPSON et al., "BAFF Interacts with the Orphan Receptor, BCMA", Scandinavian Journal of Immunology, Vol. 51 (Supplement 1), 2000, pg. 65.					
Yn 17	СP	THOMPSON et al., "BAFF Binds to the Tumor Necrosis Factor Receptor-like Molecule B Cell Maturation Antigen and is Important for Maintaining the Peripheral B Cell Population", J. Exp. Med., Vol. 192, No. 1, July 3 2000, pgs. 129-135.					
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m H	CR	YU et al., "APRIL and TALL-1 and Receptors BCMA and TACI: System for Regulating Humoral Immunity", Nature Immunology, Vol. 1, No. 3, September 2000, pgs. 252-256.					
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